

Date: 10 March 2023

To: Shire President
Deputy Shire President
Councillors



NOTICE AND AGENDA – FINANCE AND AUDIT COMMITTEE MEETING

A Shire of Nungarin Finance and Audit Committee Meeting will be held in the Council Chambers on 15 March 2023 at 4:00pm to consider and resolve on the annual Compliance Audit Return.

A handwritten signature in cursive script, appearing to read "Long".

Leonard Long
Chief Executive Officer

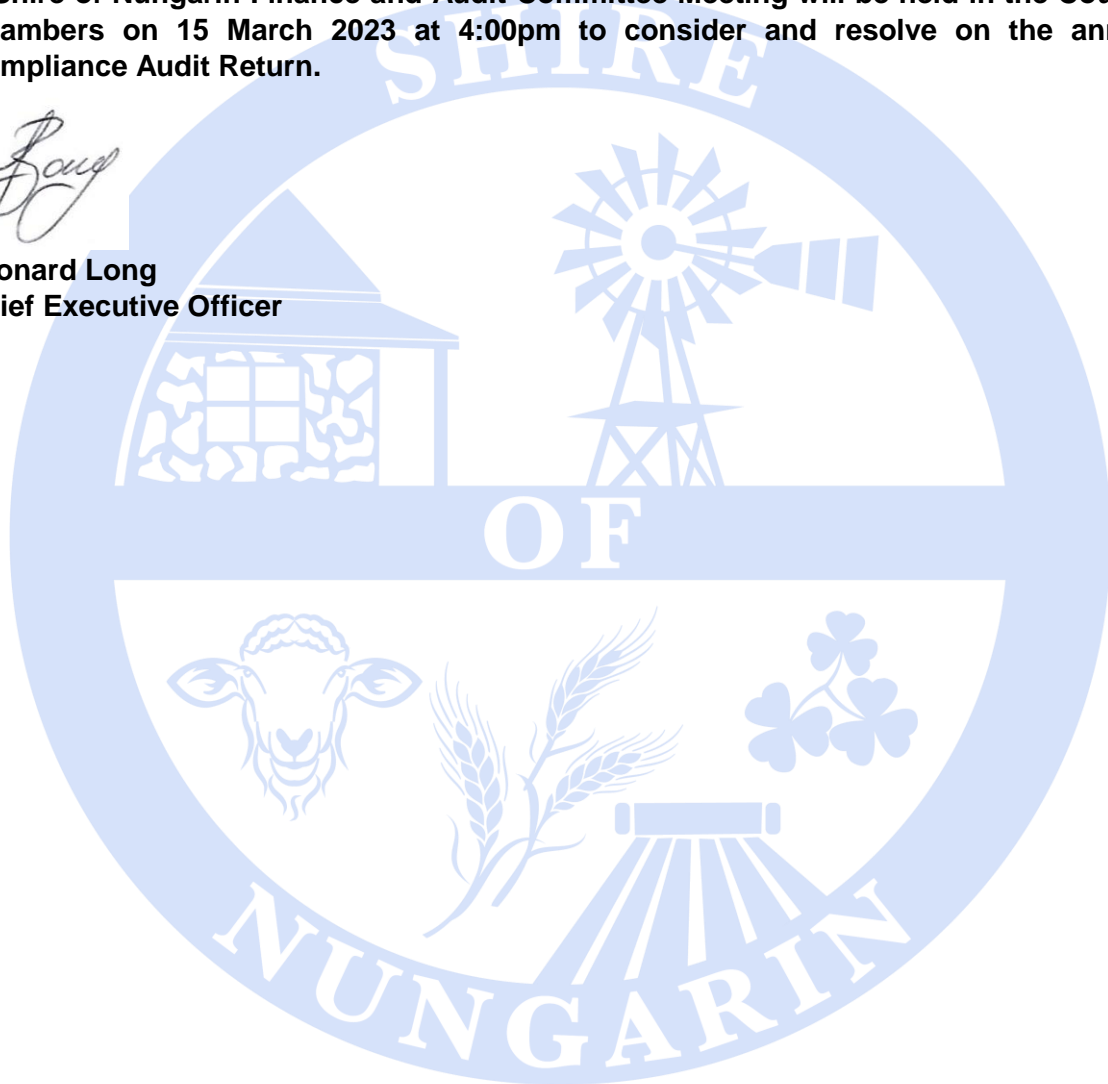


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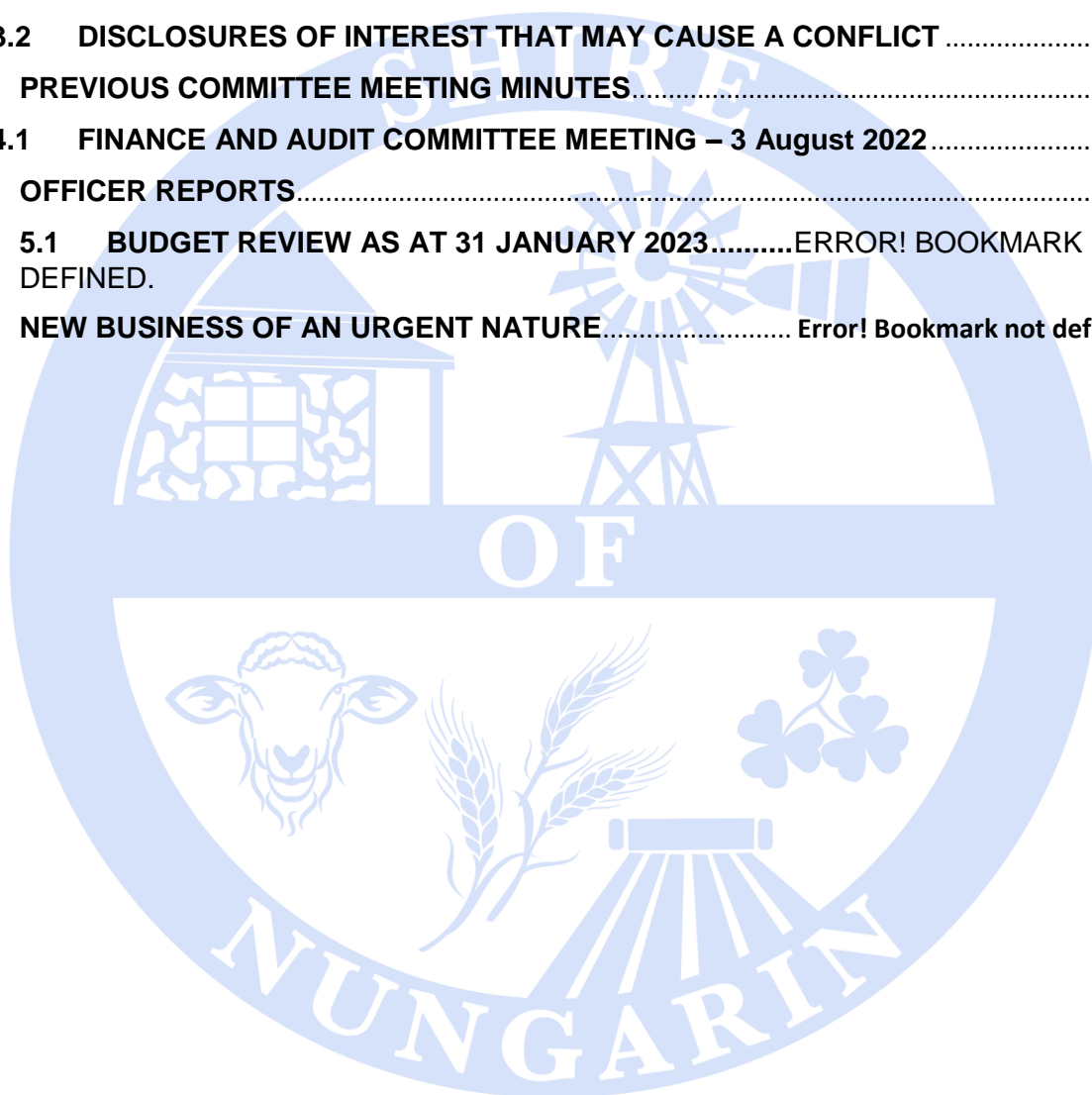
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6. NEW BUSINESS OF AN URGENT NATURE..... Error! Bookmark not defined.



AGENDA

1. DECLARATION OF OPENING

The Presiding Member declared the meeting open at ___pm.

Affirmation of Civic Duty and Responsibility as Read

I make this Affirmation in good faith on behalf of Councillors and Officers of the Shire of Nungarin. We collectively declare we will duly, faithfully, honestly and with integrity fulfil the duties of our respective office and positions for all the people in the district according to the best of our judgment and ability.

Acknowledgement of Traditional Custodians

We wish to acknowledge the Traditional Custodians of the land we are meeting on, the Njaki Njaki Nyoongar people, and recognise the contribution of Elders past, present and future.

2. ATTENDANCE / APOLOGIES / LEAVE OF ABSENCE

2.1 ATTENDANCE

Councillors

| | |
|------------------------|-----------------|
| Shire President | Cr P de Lacy |
| Deputy Shire President | Cr G Coumbe |
| Elected Member | Cr RE O'Connell |
| Elected Member | Cr K Dayman |
| Elected Member | Cr W Lee |
| Elected Member | Cr M Caughey |
| Elected Member | Cr J Davis |

Council Officers

| | |
|-------------------------|-----------|
| Chief Executive Officer | Mr L Long |
|-------------------------|-----------|

Observers / Visitors

2.2 APOLOGIES

3. DECLARATIONS OF INTEREST

3.1 FINANCIAL AND PROXIMITY INTEREST

3.2 DISCLOSURES OF INTEREST THAT MAY CAUSE A CONFLICT

4. PREVIOUS COMMITTEE MEETING MINUTES

4.1 FINANCE AND AUDIT COMMITTEE MEETING – 15 February 2023

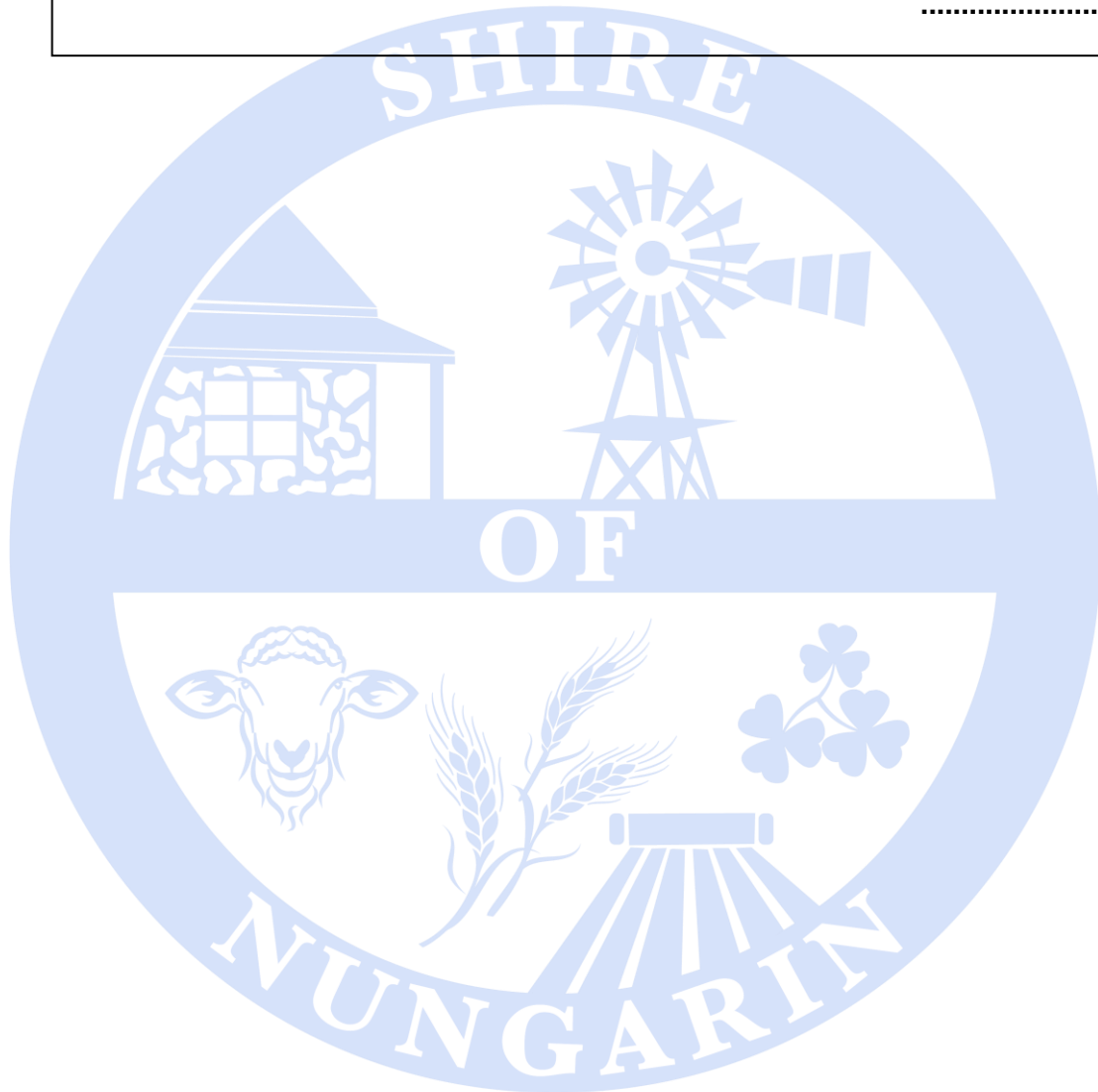
OFFICER RECOMMENDATION FAC3/03/23

That the Minutes of the Finance and Audit Committee Meeting held on 15 February 2023 be confirmed as being a true and accurate record (Attachment 4.1A.)

Moved:

Seconded:

.....



5. OFFICER REPORTS

| | |
|---|---------------------------------------|
| 5.1 COMPLIANCE AUDIT RETURN 2022 | |
| File Ref: | 3.8.2 CAR 2022 |
| Previous Item Ref: | Nil |
| Applicant: | Nil |
| Author and Title: | Leonard Long, Chief Executive Officer |
| Declaration of Interest: | Nil |
| Voting Requirements | Simple Majority |
| Attachment Number: | 5.1A – Compliance Audit Return |

OFFICER RECOMMENDATION FAC4/03/23

That the Audit and Finance Committee Resolves to:

- 1. Adopt the Compliance Audit Return for 2022 attached as ATTACHMENT 5.1A.**
- 2. Authorise the Shire President and Chief Executive Officer to certify the Compliance Audit Return for 2022.**
- 3. Request the Chief Executive Officer to forward the certified Compliance Audit Return for 2021 along with the documents required in accordance with regulation 14(3) and regulation 15 of the Local Government (Audit) Regulations 1996 to the Department of Local Government, Sport and Cultural Industries.**

Moved:

Seconded:

.....

IN BRIEF

The Council is required to consider for adoption the annual Compliance Audit Return and to forward a completed, certified copy to the Department of Local Government, Sport and Cultural Industries.

BACKGROUND

The Compliance Audit Return (CAR) was presented to the Audit Committee at its meeting of 15 March 2023.

Council is required to review the annual CAR and consider its adoption. The CAR if adopted by Council is to be certified by the Shire President and the Chief Executive Officer and forwarded to the Director General of the relevant department

REPORT DETAIL

The CAR questions the following categories:

- Commercial Enterprises by Local Government
 - The Shire has not undertaken any major land transactions. Check definition of major land transaction
- Delegation of Power / Duty
 - No issues or concerns to report.
- Disclosure of Interest
 - No issues or concerns to report.
- Disposal of Property
 - The disposal of properties undertook by Council during the relevant year have all complied with the relevant legislation.
- Elections
 - No issues or concerns to report.
- Finance
 - This section primarily had to be responded to as “no” due to the Office of the Auditor General not providing the required information. It is anticipated the OAG report will be presented to Council at its April Ordinary Council Meeting.
- Local Government Employees
 - No issues or concerns to report.
- Tenders for providing Goods and Services
 - No issues or concerns to report
- Integrated Planning and Reporting
 - No issues or concerns to report.
- Optional Questions
 - No issues or concerns to report.

SHIRE OF NUNGARIN INTEGRATED STRATEGIC PLAN 2023 – 2033

| | |
|----------------------------|---|
| Focus Area | Our Organisation |
| Community Priority | Effective forward planning, and engagement with our community |
| Success Measurement | We deliver sound financial and asset management |

OTHER STRATEGIC LINKS

Nil

STATUTORY ENVIRONMENT

Local Government (Audit) Regulations 1996

14. Compliance audits by local governments –

- (1) A local government is to carry out a compliance audit for the period 1 January to 31 December in each year.
 - (2) After carrying out a compliance audit the local government is to prepare a compliance audit return in a form approved by the Minister.
 - (3A) The local government’s audit committee is to review the compliance audit return and is to report to the council the results of that review.
 - (3) After the audit committee has reported to the council under sub regulation (3A), the compliance audit return is to be —
 - (a) presented to the council at a meeting of the council; and
 - (b) adopted by the council; and
 - (c) recorded in the minutes of the meeting at which it is adopted.
15. Certified copy of compliance audit return and other documents to be given to Departmental CEO –
- (1) After the compliance audit return has been presented to the council in accordance with regulation 14(3) a certified copy of the return together with —
 - (a) a copy of the relevant section of the minutes referred to in regulation 14(3)(c); and
 - (b) any additional information explaining or qualifying the compliance audit, is to be submitted to the Departmental CEO by 31 March next following the period to which the return relates.
 - (2) In this regulation — certified in relation to a compliance audit return means signed by —
 - (a) the mayor or president; and
 - (b) the CEO.

SUSTAINABILITY AND RISK CONSIDERATIONS

Economic – (Impact on the Economy of the Shire and Region)

Nil

Social – (Quality of life to community and / or affected land owners)

Nil

Policy Implications

Nil

Risk Management Implications

| Risk Level | Comment |
|------------|--|
| High | Council is required by the <i>Local Government Act 1995</i> , to provide a certified CAR, not providing this would be a breach of the Act. |

CONSULTATION

Nil

RESOURCE IMPLICATIONS

Financial

Nil

Workforce

Nil

end

6. CLOSURE

The being no further business the meeting closed at

Presiding Member

Date

